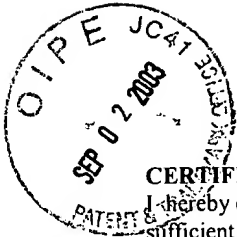


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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 28, 2003

Wendy Dial

(Typed or Printed Name of Person Mailing Paper or Fee)

Wendy Dial

(Signature of Person Mailing Paper or Fee)

PATENT APPLICATION
Attorney Docket No. SUN-P4061-JTF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF)
)
William H. Connor)
)
Serial No. 09/489,464)
)
Filing Date: January 21, 2000)
)
For: METHOD AND APPARATUS FOR)
CONCURRENCY CONTROL IN A POLICY-)
BASED MANAGEMENT SYSTEM)

Examiner: Wang, Mary Da Zhi

Group Art Unit: 3600

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SEP 04 2003
GROUP 3600

AMENDMENT TRANSMITTAL LETTER

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- ☒ Response under 37 C.F.R. § 1.111 to official action mailed July 16, 2003.
- ☐ A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- ☐ Terminal disclaimer under 37 C.F. R. § 1.321(c), including
 - ☐ check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
 - ☐ 2 certificates under 37 C.F.R. § 3.73(b).
- ☐ Information disclosure statement, form 1449 and ___ references.
- ☒ No additional claims fees are required.

☐ An additional fee is required, and is calculated as shown below:

AMENDED CLAIMS					
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDT'L FEE
Total Claims		MINUS = 20	0	x \$18 =	
Independent Claims		MINUS = 3	0	x \$84 =	
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					
If small entity status is claimed, subtract 50% of Total Amendment Fee					
TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT					\$0.00

- ☐ A check in the amount of \$___ is enclosed.
- ☐ Charge \$___ to Deposit Account No. ___ (Docket No. ___).
- ☒ Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P4061-PJM).

Edward J. Grundler
Park, Vaughan & Fleming LLP
508 Second Street, Suite 201
Davis, CA 95616
Tel: (530) 759-1663
FAX: (530) 759-1665

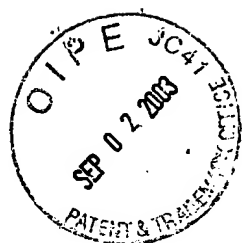
Respectfully submitted,

By



Edward J. Grundler
Registration No. 47,615

Date: August 28, 2003



Application Number : 09/489,464 Confirmation Number: 5882
Applicant : William H. Connor
Filed : January 21, 2000
TC/A.U. : 3621
Examiner : Cheung, Mary Da Zhi Wang

Docket Number : SUN-P4061-JTF
Customer No. : 22,835

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

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me

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GROUP 3600

AMENDMENT

Sir

In response to the office action of **July 16, 2003**, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

CC-
Enter
9-8-03